



REQUEST FOR PROPOSALS

March 26, 2015

PERIOD OF PROPOSAL SUBMISSION ENDS:

April 16, 2015

ABOUT PROPOSALS. The U.S.-China Economic and Security Review Commission (hereafter “the Commission”) invites submission of proposals to provide a one-time unclassified report on China’s major state-directed plans and the potential opportunities and challenges they pose for the United States.

ABOUT THE COMMISSION. The Commission was established by Congress in 2000 to monitor and report to Congress on the economic and national security dimensions of the United States’ trade and economic ties with the People’s Republic of China. Further details about the Commission are available on its website at: www.uscc.gov.

The Commission solicits this research pursuant to its Congressional mandate (contained in P.L. 113-291, Section 1259B), which states that “The Commission ... shall investigate and report on ...

“(B) The qualitative and quantitative nature of the transfer of United States production activities to the People’s Republic of China, including the relocation of manufacturing, advanced technology and intellectual property, and research and development facilities, the impact of such transfers on the national security of the United States (including the dependence of the national security industrial base of the United States on imports from China), the economic security of the United States, and employment in the United States, and the adequacy of United States export control laws in relation to the People’s Republic of China.”

“(E) The military plans, strategy and doctrine of the People’s Republic of China, the structure and organization of the People’s Republic of China military, the decisionmaking process of the People’s Republic of China military, the interaction between the civilian and military leadership in the People’s Republic of China, the development and promotion process for leaders in the People’s Republic of China military, deployments of the People’s Republic of China military, resources available to the People’s Republic of China military (including the development and execution of budgets and the allocation of funds), force modernization objectives and trends for the People’s Republic of China H. R. 3979—288 military, and the implications of such objectives and trends for the national security of the United States.”

The report’s key research requirements are:

1. Identify and describe China’s major state-directed plans in the following areas. Assess the political, economic, and security drivers behind the formation of each. Focus on medium- and long-term plans (those longer than five years); however, also address China’s Five-Year Guidelines for National Economic and Social Development:

- a. *Military strategy and defense research and development plans.* Include the Development Program of Science and Technology for National Defense (2006-2020), the Military Strategic Guidelines (attempting to discern these as accurately as possible), and any other identifiable national-level plans in this category.
- b. *Civilian science and technology plans.* Include the Guidelines for the Medium- and Long-Term National Science and Technology Development Program (2006-2020), the Science and Technology Roadmap to 2050, and any other identifiable national-level plans in this category.
- c. *Energy and industrial development plans.* Include relevant Five-Year Guidelines for National Economic and Social Development, the Energy Development Strategy Action Plan (2014-2020), the Medium- and Long-term Development Plan for Renewable Energy in China (2007-2020), the Energy Saving and New Energy Auto Industry Development Plan (2012-2020), the National New-Type Urbanization Plan (2014-2020), and any other identifiable national-level plans in this category.

Approximate emphasis: 15 percent

2. Describe the implementation process for each plan, assess the progress made under each plan to date, and assess China's ability to reach or exceed the targets or desired goals established within each plan. Identify any performance trends by sector.

Approximate emphasis: 15 percent

3. Assess the implications of China's state-directed, national-level medium- and long-term plans in civilian and industrial sectors for U.S. economic interests. Include U.S. commercial opportunities as well as the potential competitive impact on U.S. production and workforce.
 - a. Identify and describe in detail particular sectors in which China's plans for development may damage U.S. economic interests. Examine how, if applicable, these plans may lead to U.S. companies in these sectors being shut out of Chinese and global markets in the future.
 - b. Assess how the United States can maintain its strategic advantage in these sectors going forward. Describe particular areas the U.S. government should prioritize through 2030 in response to these plans.
 - c. Assess potential future opportunities for U.S. company involvement that may be created by China's planning goals. Identify and describe which U.S. economic sectors are most likely to benefit in the future from China's plans, and describe trends in U.S. firm activity in these sectors over the past decade.
 - d. Assess to what extent the Chinese government may promote the activities of its domestic companies in these sectors based on these plans, and the resulting impact this promotion may have on the business prospects of U.S. firms.

Approximate emphasis: 30 percent

4. Assess the implications of China's state-directed, national-level medium- and long-term military, civilian, and industrial plans for U.S. security interests.
 - a. Identify and describe in detail particular sectors in which China's plans for development may erode U.S. military superiority. Describe the economic, political, and military drivers responsible for such advancement, if applicable.
 - b. Assess how the United States can maintain its strategic advantage in these sectors going forward. Describe particular areas the U.S. military should prioritize through 2030 in response to these plans.
 - c. Identify and describe the military platforms and capabilities in which China intends to invest based on these plans. Provide examples of specific hardware and assess the implications for the U.S. military.
 - d. Identify strategic industries relevant to national defense in which China intends to invest based on these plans. Describe China's objectives for development in these industries and assess the implications for the United States.

Approximate emphasis: 30 percent

5. Based on China's state-directed, national-level medium- and long-term plans, assess which U.S. technologies China is most likely to target for acquisition through legal or other means including traditional and cyber espionage. Identify China's likely priorities for acquiring U.S. technologies through 2030.
 - a. Identify and describe in detail technology areas China has identified as strategic priorities.
 - b. Identify and describe in detail the sensitive U.S. technologies currently vulnerable to illegal transfer to China. Assess how the United States can better protect these technologies and improve export controls, if at all.
 - c. Assess the emerging technology areas China likely will target over the next 15 years to advance its defense, science and technology, and energy and industrial development goals.
 - d. Identify which U.S. technology areas may be vulnerable in the future to illegal transfers in pursuit of these objectives.

Approximate emphasis: 10 percent

The report should include an **executive summary** of the report's key findings; a **brief overview of the sources and analytic methodology used for the report**; and a **brief explanation of the scope and limitations of the report**.

Additional Requirements:

1. Prior to the award of any contract, the contractor must be registered in the federal System for Award Management (SAM).

2. Once the Commission selects a contractor for this project, and a contract is signed, public notice of this will be made on the Commission's website.
3. The Commission's goal is to have a report prepared for review in a timely fashion. In ordinary circumstances, once the Commission selects a contractor and a contract is signed, a draft report must be submitted to the Commission for review no later than 120 days from the date the contract is signed. The Commission will then endeavor to provide comments and requests for adjustments within 30 days; subsequently, the final report must be submitted within 30 days of formal receipt of the Commission's comments. The Commission recognizes, under certain circumstances, a contractor may wish to have more time to prepare the first draft of the report under the contract. The contractor, in their contract proposal, should stipulate the time frame for submission of the draft report. It is to be understood; however, that time is of the essence in completing research contracts for the Commission.
4. As work on the report progresses, the Commission's Research Director shall act as the Commission's representative in monitoring the progress, quality, and responsiveness of the report to the major issues of concern identified in this Request for Proposals (RFP). The Research Director shall, on request to the contractor, be entitled to informal briefings on the status of the research work and to readings of the draft in progress.
5. The report shall be free of typographical errors and conform to the Chicago Manual of Style. Upon receipt of all drafts, the Commission will inspect the document for typographical errors and deviations from the Chicago Manual of Style guidelines. At the discretion of the Commission, if a draft contains excessive deficiencies, the Commission will return the draft to the contractor and request the contractor cure the draft of deficiencies within five (5) working days (not counting weekends and Federal holidays). Upon resubmission of the draft by the contractor to the Commission, should deficiencies remain, the Commission, at its discretion, will submit the draft to its copyeditor for correction, the cost of which (\$43.98 per hour) will be deducted from the final cost of the contract. The contract shall be subject to termination if the Commission deems that the work is of unsatisfactory quality.
6. At the Commission's discretion, the report procured via this RFP may be posted on the Commission's website.
7. Each organization or individual responding to this request must warrant they will perform this work solely for the Commission, and the resulting report will not be shared with other parties without the prior written consent of the Commission.
8. The Commission expects contractors to identify all personnel working on the contract, and that there will not be any delegation of responsibilities to other parties without prior written approval of the Commission.
9. After completion of the report, the Commission staff, in consultation with the contractor, will prepare a short summary of the research for posting on the Commission's website and other media. The Commission staff shall consult with the contractor in preparing said document.
10. At the discretion and request of the Commission, the contractor shall agree to participate in up for four (4) separate briefings, and up to one (1) public hearing, held by the

Commission, of up to two (2) hours each in the Washington, D.C. area, supported by at least one (1) individual affiliated with the contractor identified as “key personnel.” This could include, but not necessarily be limited to, briefing the content of the research to Commissioners and Commission staff, appearing as witnesses at a public hearing held by the Commission, and briefing the content of the research to Members of Congress and/or their staff. No additional remuneration will be provided to the contractor for these briefings or a hearing. The Commission will make a good faith effort to schedule briefings and a hearing at times that are subject to mutual agreement.

Primary Selection Criteria:

1. The Commission will determine which organization or individual responding to this request will be awarded the contract based on a comprehensive “best value” analysis of the proposals received, to include costs, technical value, and ability to complete the work satisfactorily and on time, and past performance with the Commission, if applicable.
2. The primary weighting criterion in selection shall be the assessed qualifications and ability of an organization or individual to address the fundamental research points enunciated above (“key research requirements”).
3. The cost and amount of time necessary to complete the report will also be considered as criteria in the selection process.

Proposal submissions should include:

1. A statement of the applicant’s relevant qualifications to satisfy the terms of this RFP, to include curricula vitae for personnel intended for work on the project.
2. Identification of the principal researchers who will be responsible for the preparation of the report. It is understood that the designation of the researchers is a critical element of the proposal, and any changes regarding which individuals will be involved in the report’s preparation must be approved by the Commission in advance and in writing.
3. A description of the research methodology the applicant proposes to employ. In describing methodology, the submission should provide detailed descriptions of the sources and methods that will be used to research the report’s topic and the extent to which Chinese language sources, if any, and other primary materials will be used.
4. A list of any entities for whom you have conducted research or provided consulting services in the past. The Commission understands you may be limited in providing such information by confidentiality agreements.
5. An estimate of the time the applicant will need to complete the required work.
6. The price the applicant will charge to the Commission to complete the work set forth in this RFP.

Organizations and individuals wishing to submit a proposal in response to this RFP must ensure that the response arrives at the location noted below by **5:30PM (EST) on April 16, 2015**, or it will not be accepted or considered.

Electronic submissions are acceptable.

Proposals, as well as inquiries or any other correspondence related to this matter, should be directed to:

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